

AO 120 (Rev. 3/04)

TO:

Mail Stop 8  
 Director of the U.S. Patent and Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**REPORT ON THE  
 FILING OR DETERMINATION OF AN  
 ACTION REGARDING A PATENT OR  
 TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
 filed in the U.S. District Court Central District, California on the following ☒ Patents or ☐ Trademarks:

DOCKETING DATE FILED <b>CV11-02862</b>		U.S. DISTRICT COURT Central District, California	
PLAINTIFF OCUGIENE, INC., a California Corporation,		DEFENDANT ADVANCED VISION RESEARCH, INC., a Massachusetts Corporation	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
7,211,070 B2	5/1/2007	OCUGIENE, INC.	
7,513,893 B2	5/1/2007	OCUGIENE, INC.	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	<input type="checkbox"/> Amendment	<input type="checkbox"/> Answer	<input type="checkbox"/> Cross Bill	<input type="checkbox"/> Other (reading)
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1					
2					
3					
4					
5					

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK

(BY) DEPUTY CLERK

DATE